BREEDING PROGRAM AND RULES OF REGISTRATION

AMERICAN HANOVERIAN RIDING PONY

BREEDING PROGRAM

The American Hanoverian Society, Inc. ("AHS") through the American Hanoverian Riding Pony is committed to adopt and adhere to the highly selective breeding standards of the German Riding Pony as practiced in the Hannover breeding area of Germany. In accordance with the Breeding Program and Rules of Registrations ("Breeding Rules"), the AHS desires to encourage those standards and to develop a American Hanoverian Riding Pony Studbook in North America having the same or better characteristics.

1. BREEDING AIM – The American Hanoverian Riding Pony strives to breed an elegant, big-framed and harmonious appearance; more like a riding pony type than other pony breeds, with a small, well-defined and noble head, with large keen eyes, well-sized ears, big nostrils, a well-proportioned neck, well-muscled, correct and lean limbs, with pony-typical marks. Undesirable: plain and rough types, a big head, poorly marked outline, weak joints, no sex type:

1.1. External appearance

- **1.1.1.** Color All colors may be accepted.
- **1.1.2.** Height Ponies and small horses of approximately 138 cm to 148 cm (13.2hh 14.2hh) may be accepted.
- **1.2. Type** The goal is to breed a noble, big-framed and harmonious riding pony, featuring a dry and expressive head with big eyes, well-shaped muscles and correct, clear limbs. Breeding stallions and mares are meant to show clear gender features. A rough, crude and unharmonious conformation including coarse head, an unclear shape, unclear joints and with breeding animals missing gender features are not desired.
- **1.3. Conformation** a harmonic conformation, suitable for all kinds of riding purposes, i.e.: a well-developed neck, growing smaller towards the head being good through the jowl; a broad sloping shoulder; pronounced long withers; a strong back being long enough; a chest being deep enough; a long slightly sloping croup, a tail being set not too high; harmonic proportions of the conformation into forehand, middle-piece and hindquarters. A lean caliber; lean and well-muscled foreleg; well-defined, strong joints; elastic and medium long pastern, angle between ground surface and pastern approx. 45 to 50°; strong, even-sized and even-angled hooves proportionate to the size of the horse, angle between ground surface and the anterior line approx. 45 to 50°; the hind legs should show lean, well-defined joints, strong, well-defined hocks angled at approx. 150°, elastic and medium sized pastern.
- 1.4. Movement Energetic, rhythm and ground covering basic paces (walk 4-beat, trot 2-beat, canter 3-beat). The walk should be rhythmical, ground covering, energetic and elastic, well-balanced and elevated. The basic trot is to start with a clear two-beat cadence, a high level of impulsion, elasticity, ground cover and balance, uphill-moving forehand with a freely moving shoulder. The canter should also show impulsion, elasticity, ground cover and balance, clearly uphill and every canter stride should be powerful push with well bent hind legs. Some high knee action is desired.
- 1.5. Free Jumping Agile, full of ability and scope; pronounced coolness and intelligence; clear pick-up, well-developed quick legs and take-off (almost horizontal lower front arm over the jump); well rounded back with clear marked withers, downward rounded neck and hind legs opening slightly (bascule); the flow and rhythm of the canter should be maintained.
- **1.6.** Inner qualities / Performance Capabilities / Health Desired is an uncomplicated, easy to handle, but at the same time willingly working and reliable pony with strong nerves, creating an alert, intelligent impression with its appearance and behavior revealing a relaxed, well-balanced

temperament. Ponies that are difficult to handle, nervous or violent are not desired. An easy to ride, willingly working pony with an all-round potential, suitable for all kinds and levels of equestrian disciplines and tournaments is desired, particularly for dressage, show jumping and eventing. Sound health, excellent physical and mental strength, natural fertility and the lack of genetic faults are desired.

which means that stallions and mares from other breeding populations are accepted with the purpose of improving the American Hanoverian Riding Pony breed. The American Hanoverian Riding Pony is the result of the mating of different pony breeds or offspring of registered breeding stock of the registered breeds, provided that these breeding stock is registered in the studbook of the American Hanoverian Riding Pony Studbook. The AHS and the Association of Pony & Small Horse Breeders Hannover share reciprocity of breeding stock. Stallions and mares from other eligible breeding populations with approved pedigrees may be entered in the American Hanoverian Riding Pony Studbook. Ponies/horses from the following Eligible Breeding Populations with approved pedigrees are generally eligible for inspection and/or approval, and if accepted, and entered into the American Hanoverian Riding Pony Studbook:

Breeding Populations Recognized			
by the American Hanoverian Riding Pony Studbook			
German Riding Ponies	Australian Warmblood	Hungarian Warmblood	Spanish Sport Horse
Austrian Riding Ponies	Austrian Warmblood	Irish Sport Horse	Thoroughbred
Belgian Riding Ponies	Belgian Warmblood	Italian Warmblood	Trakehner
British Riding Ponies	Belgian Sport Horse	Lithuanian Warmblood	Ukranian Sport Horse
Danish Riding Ponies	Brazillian Riding Horse	Lusitano	Westfalen
Le Poney Français de Selle	British Sport Horse	Luxemburger Sport Horse	Zangersheide Warmblood
Italian Riding Ponies	British Warmblood	Mexican Warmblood	ISR Sport Pony (from
37 d d d D'd' D d	D 1 ' W 11 1		approved pedigrees)
Netherland Riding Ponies	Bulgarian Warmblood	Norwegian Warmblood	
Swedish Riding Ponies	Canadian Warmblood	Mecklenburger	
Netherland Welsh Riding Pony	Chilean Warmblood	Neuseekabdisches	
		Warmblood	
Connemara	Croatian Warmblood	Dutch Warmblood	
Dartmoor	Czech Warmblood	Oldenburger	
Lewitzer	Danish Warmblood	Polish Warmblood	
New Forest	German Warmblood	Pura Raza Espanola	
Welsh Section A, B, C, K and Welsh Cob	German Sport Horse	Rhinelander	
AES Reitpferd	English Warmblood	Romanian Warmblood	
Arabian	Finnish Warmblood	Scottish Sporthorse	
Anglo-Arabian	Gelderlander	Selle Français	
Argentine Sport Horse	Hanoverian	Swedish Warmblood	
American Warmblood (From approved pedigrees)	Holsteiner	Solvakian Warmblood	

- 3. INSPECTION OF BREEDING STOCK The Mare and Stallion Committee is the authority for licensing and approving stallions, inspecting mares, and entering the accepted mares and stallions into the American Hanoverian Riding Pony Studbook. The mares and stallions shall be evaluated according to the Evaluation Criteria and Scoring as set forth herein. If accepted: stallions are entered into the American Hanoverian Riding Pony Stallion Book; and mares are entered into the American Hanoverian Riding Pony Studbook. Only foals from parents that have been entered into the breeding program will be registered.
- **3.1.** For purposes of these Breeding Rules, the following definitions shall be used:
- **3.1.1.** "Approved Stallion" shall mean:
 - a) the stallion has been licensed, by either the AHS or **the Association of Pony & Small Horse Breeders Hannover**, has successfully and fully completed its Performance Requirements or if they passed a stallion performance Test (German Riding Pony), and has been entered into the American Hanoverian Riding Pony Stallion Book. Ponies of the registered breeds which are smaller than 137 cm are allowed to pass this performance test in driving;
 - b) the stallion has been licensed by another breeding association recognized by the AHS and the **the Association of Pony & Small Horse Breeders Hannover**, has met the Ancestry Requirements of section 4.1.b, and has successfully and fully completed the Performance Requirements of section 4.1.2; or
- **3.1.2.** "Licensed Stallion" shall mean the stallion has been licensed, by either the AHS or the **the Association of Pony & Small Horse Breeders Hannover**, pending completion of its Performance Requirements.
- **3.1.3.** "Approved Mare" shall mean the mare has been inspected, by either the AHS or **the Association of Pony & Small Horse Breeders Hannover**, and entered into the American Hanoverian Riding Pony Studbook; or the mare has been inspected by another breeding association recognized by the AHS and **the Association of Pony & Small Horse Breeders Hannover**, and entered into their pony studbook.
- **3.1.4.** "Evaluation Criteria" are defined as:
 - a) <u>Typiness, Femininity or Masculinity</u> Stallions must have a distinctly masculine bearing and mares a distinctly feminine expression. A pony's type must correspond to the American Hanoverian Riding Pony Studbooks breeding goal.
 - b) Quality of Conformation The main part of the body, i.e. from the chest to the buttocks, should fit into a rectangular, not square frame, with all parts harmoniously integrated; a noble head with expressive eyes; a well proportioned and well put on neck; withers pronounced and extending far back; shoulders sloping, and the angle between scapula and humerus large and open; long, broad forearm on correspondingly short cannon; straight legs; a strong but not tight back, well padded in the area of the kidney; long; broad, slightly sloping croup. Careful attention is paid to the hindquarters, their angulation, proportion and joint formation. Hocks must be broad, clear and well defined; pasterns on all four legs of proper slope and length; hoofs well shaped, strong and sound.
 - c) <u>Correctness of Gaits</u> Movement as seen from front and rear must be straight; no winging or crossing over.
 - d) <u>Impulsion and Elasticity (Trot)</u> Impulsion must clearly emanate from the hindquarters, traveling through a relaxed back swinging in rhythm with the gait. Movements should be big, yet light and springy.

- e) <u>Walk</u> The walk must be ground covering, relaxed and regular. Strides must be even with a clear four-beat sequence not lateral or pacing. Freedom of shoulders and haunches and a supple back must be evident.
- f) <u>Canter (Stallions only)</u> The canter is a three-beat gait with a distinct uphill and round quality. The canter should be marked by a lightness of the forehand and engagement of the hindquarters. It is to be penalized when it becomes four-beat and flat.
- g) Overall Impression and Development As to a pony's size, sound judgment should prevail. Ponies should be neither excessively large nor too small. In all cases height should be in proportion to the overall build. Harmony is more important than size. A pony's development must be commensurate with its age.
- h) <u>Scoring</u> Each of the Evaluation Criteria shall be scored according to the following table:

10 - Excellent 4 - Insufficient
9 - Very good 3 - Fairly poor
8 - Good 2 - Poor
7 - Fairly good 1 - Very poor

6 - Satisfactory 0 - Not evaluated 5 - Marginal (In the case

(In the case of a American Hanoverian Riding Pony Studbook pony mare, if the mark "0" is given for any category, it is not taken into consideration in the scoring.)

4. BREED STUDBOOK FOR STALLIONS

- **4.1.** Stallions are not licensed before the age of three years.
 - a) Only those stallions will be licensed whose sires and sires of dam, grand dam and great-grand dam are registered in the main studbook or a similar studbook of a breeding association and whose dams are registered in the main studbook or a similar studbook of a breeding association.
 - b) who at least reached a final score of 7.0 points; however, in each of the main criteria it had to come up to a minimum of 5.0 points.
 - c) who fulfill the fertility and health requirements within a vet-check.
 - d) who at least reached a total score of 6.5 points or better at a stallion test, showing at least 5.0 points in each of the main criteria, unless they provide the required competition successes in dressage, jumping or eventing;
 - e) who fulfill the additional criteria for the registration into the stallion book I fixed in the breeding program for the German Riding Pony,
 - f) Stallions of the registered breeds also meet the requirements of the individual performance test for the breeding aim "riding" if they passed a stallion performance test (German Riding Pony) or if they got an equal performance result (German Riding Pony).
 - g) Ponies of the registered breeds which are smaller than 137 cm are allowed to pass this performance test in driving (Dartmoor), (Welsh A and B).
 - h) Stallions of racing breeds (Thoroughbred, Arabian) who have a race record may be accepted in lieu of another performance alternative if deemed of sufficient quality by the AHS and the Association of Pony & Small Horse Breeders Hannover.
 - i) Stallions which serve for the improvement of certain breeds can only be registered.
- **4.1.2.** Other Exceptions In unique and exceptional cases, the Mare and Stallion Committee may make a special recommendation concerning a stallion that does not fulfill these pedigree requirements. Such recommendation must be approved by the AHS Board of Directors and by **the Association of Pony & Small Horse Breeders Hannover**.

a) Health Requirements

- (i) Prior to the Licensing The stallion must pass the veterinary protocol, and WFFS testing established by the AHS and **the Association of Pony & Small Horse Breeders Hannover**.
- b) <u>Registration Papers</u> The stallion's registration papers must be submitted to and approved by the AHS.
- c) Parentage Verification The stallion must have been parent verified by DNA typing.
- d) <u>Stallion Identification</u> The identity of the stallion must be confirmed at the licensing.

4.2. Stallion Licensing

- **4.2.1.** <u>Stallion Evaluation</u> Eligible stallions shall be inspected based on the following Evaluation Criteria and as set forth in §3.1.1.4:
 - a) Typiness, Masculinity
 - b) Quality of the Conformation
 - 1) Head
 - 2) Neck
 - 3) Saddle position
 - 4) Frame
 - 5) Foreleg
 - 6) Hindleg
 - c) Correctness of Gaits
 - d) Impulsion, Elasticity (Trot)
 - e) Walk
 - f) Canter
 - g) Free jumping
 - h) Rideability
 - i) Overall Impression and Development

4.2.2. Undersaddle Requirement

- a) Stallions Aged Three to Six All three-, four-, five-, and six-year-old stallions shall be presented under saddle during their inspection in order to judge their basic gaits and rideability.
- b) Stallions Aged Seven or more Stallions aged seven years or more shall be presented under saddle and/or free jumped at the discretion of the AHS and the Association of Pony & Small Horse Breeders Hannover.

4.3. Approval and Licensing Designations

- **4.4.** Approval and licensing are the designations made by the AHS and **the Association of Pony & Small Horse Breeders Hannover** concerning the use of a stallion for breeding. Based on achieving the minimum scores of the Evaluation Criteria, the Performance Requirements, and other requirements, the designations are as follows:
 - a) Approved (Licensed and Performance Requirements met);
 - b) Licensed (Performance Requirement not met); or
 - c) Not licensed.

The designation concerning licensing or approval is given to the stallion owner in writing.

4.5. Appeal of Licensing Decision

The stallion owner is entitled to file an appeal of a "not licensed" decision. This appeal must be

submitted in writing to the Chair of the Mare and Stallion Committee within four weeks after the stallion owner has been notified of such licensing decision. If the appeal is accepted, the stallion may be re-presented.

4.6. Withdrawal of the Designation

The designation shall be withdrawn or revoked:

- a) if, after the licensing or approval, a condition is discovered which would have made the stallion ineligible for licensing or approval.
- b) if a condition, which was attached to the licensing or approval, is not fulfilled within the required and allowed period of time.

4.6.1. Performance Requirements

A stallion may complete the Performance Requirements by successfully completing either: a stallion performance test accepted by the AHS or **the Association of Pony & Small Horse Breeders Hannover**; performance in sport; or performance in young dressage horse competitions. Each of these alternatives is discussed in detail below.

a) Performance Test

Stallions may be approved through their successful completion of the NA Stallion Sport Test. Pony stallions are only required to complete one year of testing.

b) Performance in Sport

- c) Stallions may be approved through their successful performance in sport, namely dressage, jumping or driving as set forth below:
 - In <u>dressage</u>, Welsh Ponies B and C stallions must receive five (5) scores with placings of 1st 3rd with at least 60% at first level by three (3) different judges at USEF or Equine Canada recognized shows; Welsh Cob and German Riding Ponies must receive five (5) scores with placings of 1st 3rd of at least 60% at second level by three (3) different judges at USEF or Equine Canada recognized shows
 - 2) In jumping/hunters/eventers, Welsh Ponies B and C stallions must receive five (5) placings in the top three (3) at 2'6" or above at a USEF or Equine Canada recognize shows; Welsh Cob and German Riding Pony stallions must receive five (5) placings in the top three (3) at 2'9" or above at a USEF or Equine Canada recognized show
 - 3) In <u>Driving</u>, Welsh Ponies B and C and Welsh Cob stallions must receive five (5) placings in the top three (3) at training level at a USEF or Equine Canada recognized show; German Riding Pony Stallions must receive five (5) placings in the top three (3) at preliminary level at a USEF or Equine Canada recognized show.

4.7. Activation of the Stallion

- **4.7.1.** Annual Activation A stallion's breeding privileges may be renewed annually by activating the stallion, namely by timely filing of the stallion's annual service reports, including stallion certificates of service, and payment of stallion dues.
- **4.7.2.** Publication of Activated Stallions All stallions currently activated with the American Hanoverian Riding Pony Studbook Studbook shall be published annually in the Stallion Directory and the AHS website.

4.8. Certain Limitations on Licensed Stallions

4.8.1. License Limitations for Licensed but not Approved Stallions – Three- and four-year-old American Hanoverian Riding Pony Studbook Stallions that have not satisfied the Performance Requirements are permitted to have a maximum of 20 foals that receive American Hanoverian Riding Pony Studbook registration papers per year. Five-year-old and older American Hanoverian Riding Pony

Studbook Licensed Stallions that have not satisfied the Performance Requirements are not permitted to have any foals (or any further foals, in the case of a stallion licensed as a three- or four-year old stallion) receive American Hanoverian Riding Pony Studbook registration papers until such Performance Requirements are satisfied.

- **4.9.** Stallions Licensed by Other Breeding Societies Stallions that have been Licensed or Approved by other breeding societies may be approved for breeding on request to the AHS Office from a breeder without being licensed by the AHS or **the Association of Pony & Small Horse Breeders Hannover**; provided, however, that such stallions:
 - a) have a pedigree acceptable to the AHS and the Association of Pony & Small Horse Breeders Hannover
 - b) already be licensed by a breeding society whose program is approved by the AHS and the Association of Pony & Small Horse Breeders Hannover
 - c) have completed performance requirements similar or commensurate with the Performance Requirements of the AHS and the Association of Pony & Small Horse Breeders Hannover

5. INSPECTION OF MARES

5.1. Mare Eligibility Requirements

In order to be eligible to be inspected, the mare must meet the following requirements.

- a) Registration of mares being at least 3 years old in the year of registration,
- b) whose dams are registered in the main division of a breeding association
- c) whose sires and dam's sires are registered in the main division of an accepted breeding association,
- d) who achieved a Final score of at least 6.0 points with no individual scores less then 5 during inspection
- **5.2.** Mare Inspection Mares shall be inspected based on the following Evaluation Criteria and as set forth in §3.1.1.4:
 - a) Typiness, Femininity
 - b) Quality of the Conformation
 - 1) Head
 - 2) Neck
 - 3) Saddle position
 - 4) Frame
 - 5) Foreleg
 - 6) Hindleg
 - c) Correctness of Gaits
 - d) Impulsion, Elasticity (Trot)
 - e) Walk
 - f) Overall Impression and Development

5.3. Mare Studbook Placement

5.3.1. Placement Based on Inspection

- d) Mares approved by the AHS or **the Association of Pony & Small Horse Breeders Hannover** are automatically entered into the American Hanoverian Riding Pony Studbook.
- e) Injured or aged mares with past competition records in dressage, jumpers, eventing, combined driving or show hunters, can be entered into the American Hanoverian Riding Pony Studbook

- provided such competition record is verified and judged adequate by the Mare and Stallion Committee.
- f) The mare's entry in the American Hanoverian Riding Pony Studbook shall be recorded on the mare's registration papers.

5.4. Mare Performance Requirement

As a basis for granting the title of Premium Mare, the following performance alternatives apply:

- 1) a mare performance test; or
- 2) performance in sport. Each of these alternatives is discussed in detail below.

5.4.1. Mare Performance Test

A mare successfully completes the mare performance test if the mare:

- A) receives an overall score of seven (7.0) or more (i.e., at least 21 points) while completing the full performance test (assessment of the mare gaits and rideability undersaddle and free jumping) or
- B) Receives an overall scores of 7.25 while completing the undersaddle only mare performance test (assessment of mare's gaits and rideability undersaddle, does not require free jumping)

5.4.2. Performance in Sport

Alternatively to the performance test a mare can also pass her exam by presentation of proven competition successes. This test by competition successes is passed in dressage, jumping and eventing. The following competition successes are considered:

- 1. In <u>dressage</u>, A mare must receive three (3) scores of at least 60% at training level or above by three (3) different judges at USEF or Equine Canada recognized shows or
- 2. In jumping/hunters/eventing, a mare must place in the top six (6) at 2'3" or above in at least three (3) competitions at USEF or Equine Canada recognized shows or
- 3. In <u>driving</u>, a Welsh ponies and Welsh B and C must receive three (3) placings in the top three (3) at training level and German Riding Ponies must receive three (3) placings in the top three (3) at preliminary level at USEF or Equine Canada recognized shows

5.5. American Hanoverian Riding Pony Studbook Premium Mare Program

- **5.5.1.** Premium Eligible Status An American Hanoverian Riding Pony Mare receives her "Premium Eligible" status when she receives an overall score of seven (7.0) during her inspection or a "1a" prize during a mare show.
- **5.5.2.** Premium Mare Candidate Status An American Hanoverian Riding Pony Studbook Premium Eligible mare receives her "American Hanoverian Riding Pony Studbook Premium Mare Candidate" or "PMC" status when she successfully completes her Performance Requirements.
- **5.5.3.** Premium Mare Title An American Hanoverian Riding Pony Studbook Premium Mare Candidate receives her "American Hanoverian Riding Pony Studbook Premium Mare" or "PPM" title upon production of a registered American Hanoverian Riding Pony Studbook foal. Once a mare receives her American Hanoverian Riding Pony Studbook Premium Mare title, the letters 'PPM" will be added to the registration papers and also will become part of the mare's official name.

5.6. Amendment to Studbook Entries

5.6.1. The AHS will transfer a pony to a different part of the American Hanoverian Riding Pony Studbook, or delete from the American Hanoverian Riding Pony Studbook, if it subsequently receives information that the requirements for entering the mare in the studbook were not fulfilled.

5.7. Posthumous Entry of Mares

A deceased American Hanoverian Riding Pony Studbook Mare may be entered into the studbook of her dam in order to permit registration of the mare's last-born foal.

6. REGISTRATION OF FOALS

- **6.1.** <u>Foals with Fully-Approved Parents</u> A foal shall be eligible to receive full American Hanoverian Riding Pony Studbook registration papers when each of the following conditions are met:
- **6.1.1.** Approval of Sire and Dam A foal must have approved parents. In particular, the foal is eligible for registration when:
 - a) Sire is an AHS/the Association of Pony & Small Horse Breeders Hannover Licensed Stallion or Approved Stallion, or sire is licensed and/or approved by another warmblood registry on a case-by-case basis as determined by the Mare and Stallion Committee, and
 - b) Dam is an AHS/the Association of Pony & Small Horse Breeders Hannover Approved Mare.
- **6.1.2**. Sire Must Be Activated In order for the foal to be eligible for registration, the sire must be activated according to the following:
- **6.1.2.1.** For stallions standing inside the United States, the sire must be activated in the year of conception via payment of its stallion dues (typically by the stallion owner).
- **6.1.2.2.** For stallions standing outside the United States, the sire may be activated in the year of conception via payment of its stallion dues by the stallion owner or by the stallion owner's agent.
- **6.1.2.3.** For stallions not activated with the AHS, the foal may be registered upon payment of an Inactive Stallion Fee (typically by the breeder/owner of the foal).
- **6.1.2.4**. For gelded or deceased stallions, the foal may be registered upon payment of an Inactive Stallion Fee.
- **6.1.3.** Verification of Genetic Parentage and Microchipping The foal must be parent verified through DNA typing. In accordance with USEF procedures, microchips uniquely identifying the foal will be recorded on the registration papers. Microchips must be implanted and attested by a licensed veterinarian.
- 6.1.4. Production of Progeny Production of progeny can be achieved by breeding using natural service, including pasture breeding, artificial insemination, transported semen (fresh or frozen), or by embryo transfer (fresh or frozen). In the case of embryo transfer, multiple foals per donor mare per breeding season may be registered. Clones and foals having clones in their pedigrees may not be registered.
- **Foals Without Fully-Approved Parents** A foal born from at least one unapproved parent shall be eligible to receive either: 1) American Hanoverian Riding Pony Studbook Annex Papers or 2) a Certificate of Pedigree.
- **6.2.1.** American Hanoverian Riding Pony Studbook Annex Papers ("Annex Papers")
- 6.2.1.1. Foals Eligible for American Hanoverian Riding Pony Studbook Annex Papers In the event that a foal is not

otherwise eligible for full American Hanoverian Riding Pony Studbook registration, Annex Papers maybe issued to the foal that is (i) sired by an unapproved stallion with an acceptable pedigree and out of an Approved Mare, or (ii) sired by an Approved Stallion or Licensed Stallion and out of an unapproved mare with an acceptable pedigree, or (iii) sired by an Approved Stallion or Licensed Stallion and out of an inspected mare with Annex Papers, or (iv) sired by an unapproved stallion with an acceptable pedigree and out of an unapproved mare with an acceptable pedigree.

- **6.2.1.2**. Parent verification of the foal through DNA typing shall be required.
- **6.2.1.3.** The Annex Papers shall contain the same information as American Hanoverian Riding Pony Studbook registration papers and conspicuously marked to indicate their status as "American Hanoverian Riding Pony Studbook Annex Papers" and "At the time of registration, one or both parents did not meet the requirements needed for full registration papers."
- **6.2.1.4.** There is no time limit with respect to the issuing of Annex Papers.
- **6.2.1.5.** In some circumstances, horses with Annex Papers from the American Hanoverian Riding Pony Studbook may be entered into the American Hanoverian Riding Pony Studbook breeding program as follows.
- **6.2.1.5.1** Horses with Annex Papers may receive full registration papers and enter the American Hanoverian Riding Pony Studbook breeding program in circumstances where both parents of such horses are subsequently approved for breeding.
- **6.2.1.5.2** Female offspring from horses with Annex Papers may enter the American Hanoverian Riding Pony Studbook breeding program after two subsequent generations of approved warmblood breeding. For example, a granddaughter descending from a daughter, which in turn descends from the Annex mare may enter the American Hanoverian Riding Pony Studbook Mare Studbook on inspection with an overall inspection score of 6.0, if: 1) the Annex mare and the daughter are both inspected and approved with an overall inspection score of 6.0; and 2) the granddaughter's sire and dam sire are both Approved or Licensed Stallions.
- **6.2.1.6.** Horses with Annex Papers are generally eligible to participate in the American Hanoverian Riding Pony Studbook awards programs.
- **6.2.1.7.** Horses with Annex Papers will not be branded.
- 6.2.2 <u>Certificate of Pedigree</u>
- **6.2.2.1.** Foals Eligible for Certificate of Pedigree In the event that a foal is not otherwise eligible for American Hanoverian Riding Pony Studbook registration or American Hanoverian Riding Pony Studbook Annex Papers, a Certificate of Pedigree may be issued to the foal that is either (i) sired by an Approved Stallion or a Licensed Stallion or (ii) out of an Approved Mare.
- **6.2.2.2.** Parent verification of the foal through DNA typing is not required but is highly recommended, particularly for fillies as a means of permanent and unequivocal identification of the horse.
- **6.2.2.3.** The Certificate of Pedigree shall contain the same information as American Hanoverian Riding Pony Studbook registration papers.
- **6.2.2.4.** There is no time limit with respect to the issuing of Certificates of Pedigree.
- **6.2.2.5**. Horses with a Certificate of Pedigree from the American Hanoverian Riding Pony Studbook generally cannot be entered into the American Hanoverian Riding Pony Studbook breeding program; however, horses

with Certificates of Pedigree may receive full registration papers and enter the American Hanoverian Riding Pony Studbook breeding program in circumstances where both parents of such horses subsequently become approved for breeding.

- **6.2.2.6**. Horses with a Certificate of Pedigree are generally eligible to participate in the American Hanoverian Riding Pony Studbook awards programs.
- **6.2.2.7.** Horses with a Certificate of Pedigree will not be branded.
- **6.3.** Application for Registration
- **6.3.1.** The application for either American Hanoverian Riding Pony Studbook foal registration or a Certificate of Pedigree must contain the following information supplied by the breeder or owner of the foal:
 - a) three requested names for the foal;
 - b) name and registration number of the foal's dam (where known);
 - c) name and registration number of the sire;
 - d) name and address of the breeder of the foal;
 - e) date of birth, sex, color and markings of the foal;
 - f) signature of the breeder of the foal;
 - g) payment of all appropriate fees.

Foal registration materials will be sent to the foal owner after payment of the fees.

- **6.3.2.** Registration papers should generally only be issued in the year of birth, but no later than the end of the yearling year. In exceptional circumstances, older horses may be registered at the discretion of the American Hanoverian Riding Pony Studbook.
- **6.4.** Registration Papers
- **6.4.1.** Official Documents of the American Hanoverian Riding Pony Studbook Registration papers and Certificates of Pedigree are official documents of the American Hanoverian Riding Pony Studbook concerning the ancestry of a horse. Such official documents are issued to the owner of the horse but remain the property of the American Hanoverian Riding Pony Studbook at all times.
- **6.4.2.** Content of Registration Papers Registration papers shall contain the following information:
 - a) The name and address of The American Hanoverian Riding Pony;
 - b) Date and place issued;
 - c) Universal Equine Life Number (i.e. Registration Number);
 - d) Embossed seal of the AHS;
 - e) Signature of the Registrar;
 - f) Names and addresses of the breeder and of the owner(s);
 - g) Date of birth, sex, color, markings, and the foal brand;
 - h) Four generations of ancestors;
 - i) Entry of the parents and their ancestors into the breeding program; and
 - i) Results of the performance tests of the sire, the sire's sire, and the dam's sire.
 - k) Other information as shall be deemed appropriate or desirable by the Board on recommendation from the Mare and Stallion Committee
- 6.4.3. Registration Number Each horse shall be allocated a 15-digit Universal Equine Life Number (UELN) when it is registered by the American Hanoverian Riding Pony Studbook and shall follow the horse for life. The UELN is composed as follows: [INSERT NUMBER HERE]
- **6.4.4.** Registration Name Mares which are entered into the American Hanoverian Riding Pony Studbook as well as stallions which are entered in the Stallion Book must be named., i.e. at the time of foal registration. This

name is to begin with the same letter as the name of the sire. The name allocated at the time of foal registration may be changed provided the horse has not been inspected and entered into the breeding program. A name once given to an Approved Stallion may not be given again to any horse other than a full brother (with the appropriate suffix, II etc.). Name choices are restricted to twenty (20) spaces, including letters, punctuation marks and numerals.

- 6.4.5. Accuracy of Information The breeder (mare owner, lessee, or embryo owner at the time of conception) is responsible for the accuracy of the information on the application for foal registration, as well as further requirements which the breeder must submit to American Hanoverian Riding Pony Studbook. The breeder shall be obliged to check the registration papers after they have been issued by the American Hanoverian Riding Pony Studbook, to ensure that all information is correct. If incorrect information is discovered, the American Hanoverian Riding Pony Studbook is to be informed so that corrections can be made.
- **6.4.6.** <u>Amendments to Registration Papers</u> All changes to the registration papers, including, for example, breeding data, death, sale, amendments of color or distinguishing marks, results of performance tests are to be communicated to the American Hanoverian Riding Pony Studbook by the horse's owner.

Amendments to the registration papers or Certificates of Pedigree shall be produced by the American Hanoverian Riding Pony Studbook and sent to the Owner of Record.

6.4.7. <u>Duplicate Copies</u> – A duplicate copy of registration papers or Certificates of Pedigree can only be issued after application and presentation of a signed, notarized statement concerning the loss of the original. The replacement document is to be clearly marked as a duplicate copy.

7. KEEPING OF THE AMERICAN HANOVERIAN RIDING PONY STUDBOOK

The American Hanoverian Riding Pony Studbook shall be maintained by the AHS. The American Hanoverian Riding Pony Studbook records shall contain the following information for each horse:

- a) the names and addresses of the breeder and owner;
- b) the date of birth, sex, color and markings;
- c) the registration number;
- d) the foal brand;
- e) the horse's lineage;
- f) studbook level, and if applicable, changes based on performance;
- g) the date registration papers (and duplicates, if any) were issued;
- h) the inspection and performance scores;
- i) the registered progeny;
- i) show records (if available); and
- k) the DNA type.

8. OBLIGATIONS OF THE STALLION OWNER

- **8.1.** Obligations of the Stallion Owner The stallion owner is responsible to the American Hanoverian Riding Pony Studbook for correct documentation of the stallion's breeding activity and its recording. In particular, the stallion owner must fulfill the following obligations:
- **8.1.1.** Activate the stallion (by payment of annual breeding dues) by October 1 prior to the year for which the stallion is being activated. Returning the annual stallion service report by November 30 of each

year. Failure to activate the stallion by October 1 by payment of annual breeding dues, or failure to file the annual stallion service report by November 30 incurs a penalty payable before the American Hanoverian Riding Pony Studbook can accept the tardy report.

- **8.1.2.** Observe all limitations concerning the use of stallions imposed by the Mare and Stallion Committee according to §4.
- **8.1.3.** Inform the American Hanoverian Riding Pony Studbook of (i) any change of ownership of a stallion; (ii) any change of location of a stallion; (iii) the death of the stallion; or (iv) any event which indicates that the stallion may no longer be available for breeding.
- **8.2.** Stallion Service Report At the end of the breeding season, owners/agents of activated stallions are sent an annual stallion service report. The stallion owner is obliged to keep a list of mares bred along with the name and address of the owner and submit this entire list as an annual stallion service report to the American Hanoverian Riding Pony Studbook by November 30. The annual stallion service report must contain the following information:
 - a) the name and registration number (where known) of each mare bred;
 - b) the breed of each mare (where known);
 - c) all dates of breeding and/or shipping of semen;
 - d) the name and address of the mare's owner/lessee;
 - e) the signature of the stallion owner or their representative.
- **8.3.** <u>Stallion Certificates of Service</u> At the end of the breeding season, owners/agents of activated stallions are sent stallion certificates of service. The stallion owner is obliged to issue a stallion certificate of service for each mare bred and submit the original certificate to the American Hanoverian Riding Pony Studbook by November 30 of each year. Duplicates are retained by the stallion owner/agent. The stallion certificate of service must include:
 - a) the name and registration number (where known) of the mare;
 - b) the name and number of the stallion;
 - c) all dates concerning breeding and/or shipping of semen;
 - d) the name and address of the mare's owner/lessee;
 - e) the signature of the stallion owner or their representative.

9. TRANSFER OF OWNERSHIP

- **9.1.** Transfer of Ownership
- **9.1.1.** Requirements To affect an American Hanoverian Riding Pony Studbook transfer of ownership, the new owner is required to:
 - a) Be a current member of the AHS, or pay the published non-member rates; and
 - b) Submit a Bill of Sale, or an AHS Transfer of Ownership form, which must be signed and dated by both the seller and buyer, or otherwise a signed, notarized statement documenting ownership of the horse.
 - 1) Seller needs to be last documented owner with AHS or buyer needs Bill of Sale trail from last AHS documented owner to current buyer or written permission from the last AHS documented owner to proceed with transfer.
 - c) Submit a copy of horses registration papers.

9.1.2. <u>Update to Registration Papers</u> – Upon completion of the requirements to transfer ownership, the name of the new owner shall be produced by the AHS and sent to the new owner of the horse.

10. BRANDING REGULATIONS

The American Hanoverian Riding Pony Studbook does not brand any ponies.